# BEFORE THE ARIZONA MEDICAL BOARD

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IN THE MATTER OF:

in the State of Arizona.

Respondent.

ARTHUR J. O'CONNOR, M.D.,

For the Practice as an Allopathic Physician

Holder of License No. 6361

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FINDINGS OF FACT, CONCLUSIONS OF LAW AND ORDER

(Revocation)

NO. 11A-0361-NIDA

On December 14, 2011, this matter came before the Arizona Medical Board ("Board") for consideration of the Administrative Law Judge (ALJ) Brian Brendan Tully's proposed Findings of Fact, Conclusions of Law and Recommended Order. Arthur J. O'Connor, M.D. ("Respondent") appeared before the Board with legal Counsel Daniel P. Jantsch, Esq.; Michael W. Sillyman, represented the State. Christopher Munns with the Solicitor General's Section of the Attorney General's Office, was present and available to provide independent legal advice to the Board.

The Board, having considered the ALJ's decision and the entire record in this matter, hereby issues the following Findings of Fact, Conclusions of Law and Order.

### FINDINGS OF FACT

- 1. The Arizona Medical Board ("Board") is the duly constituted authority for licensing and regulating the practice of allopathic medicine in the State of Arizona.
- 2. Arthur J. O'Connor, M.D. ("Respondent") is the holder of License No. 6361 for practice as an allopathic physician in Arizona.
- The Board initiated Case No. MD-10-0988A after receiving allegations that Respondent had sexually abused his patient T.M.
- 4. Case No. MD-10-1392A was initiated by the Board after the Board received an allegation of sexual abuse by Respondent of his patient J.P.-P.
- 5. Patient M.T. was subsequently added to Case No. MD-10-1392A on her complaint of inappropriate touching by Respondent.

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After Respondent's failure to disclose two incidents of alleged sexual abuse of patients M.T. and C.W., the Board initiated Case No. MD-11-0006A.

## Case No. MD-10-0988A- Patient T.M.

- 7. The Board initiated Case No. MD-10-0988A following receipt of information regarding a patient complaint against Respondent from Lauro Amezcua-Patino, M.D., a psychiatrist who treated Respondent, and a complaint filed by Patient T.M.
- 8. Patient T.M. first met Respondent when he was assigned to be her surgeon in May 2005.
- Although Patient T.M. did not undergo surgery in 2005, when she later returned to the hospital in 2009, she recalled Respondent and requested that he become part of her care team.
- 10. On one occasion in 2009 during a pre-operative visit to her hospital room, Respondent lifted up Patient T.M.'s hospital gown when she was not wearing any underpants. She was very uncomfortable with the situation and put underpants on.
- 11. During an office visit in December 2009, Respondent performed a pelvic examination of Patient T.M., indicating that the reason for the pelvic exam was her lack of a sex drive. Respondent was not treating Patient T.M. for lack of sex drive.
- 12. Patient T.M.'s lack of sex drive was a common topic with Respondent during her office visits with him. Respondent would often try to stimulate her sexually by using his bare hand to manipulate her clitoris. He would also frequently touch her breasts including her nipples.
- 13. Patient T.M. visited Respondent's office in April 2010, for what she thought was an infection where her feeding tube was inserted.
- 14. During the April 2010 examination, Patient T.M. complained of phantom pain in her arms where peripherally inserted central catheter lines had been placed. Respondent rubbed Patient T.M.'s arm against his pelvic area and his erection.
- During the April 2010, examination, Respondent again questioned Patient T.M. about her sex life with her husband, undid her belt, opened up her jeans and put

his hand down her pants to determine if she had any sensation. Respondent then put his hand down Patient T.M.'s shirt and bra and touched both her breasts. Respondent asked Patient T.M. how often she serviced her husband in a week's time. Respondent asked if she swallowed during oral sex and if she liked the taste. Respondent ordered a testosterone test for Patient T.M. and then, according to Patient T.M.'s testimony, "for some reason [Respondent] used the pronoun 'we' would like you to masturbate."

- 16. In a complaint dated April 22, 2010, and delivered to the Board on July 22, 2010, Patient T.M. outlined Respondent's sexual abuse of her.
- 17. Respondent's examination of Patient T.M.'s breasts and vagina and his questions regarding her sexual relations were unrelated and unnecessary to the examination of her feeding tube, and Respondent was not treating Patient T.M. for any sexual malfunction.

# Case No. MD-10-1392A - Patients J.P.-P. and M.T.

# Patient J.P.P.

- 18. The Board initiated Case No. MD-10-1392A after receiving notification from Scottsdale Healthcare alleging that Respondent inappropriately touched Patient J.P.-P. on four occasions during an October 2010 hospitalization.
- 19. J.P.-P. is a registered nurse who has worked as a nurse for over 18 years.
- 20. Respondent was the surgeon assigned to J.P.-P. in connection with surgery performed on October 20, 2010.
- 21. Patient J.P.-P alleged that following her surgery, Respondent came to her hospital room late at night. She alleged that she placed her hand out to shake Respondent's hand and he placed both of his hands, with her hand in the middle, down her gown and between her breasts, saying "that's where they belong." Patient J.P.-P alleged that she broke Respondent's grip, threw his hand out of her gown, pulled her covers up to her neck and said, "what in the hell is the matter with you?...Don't ever touch me like that again."
- 22. Patient J.P.-P alleges that a second incident involving Respondent occurred the next evening when Respondent entered her hospital room, leaned across her on the bed and placed his left hand down through the covers and down her gown,

grabbing her left breast and flipping it out of the top of her gown. Patient J.P.-P claims that she immediately brought the covers back up and asked Respondent "what in the hell are you doing?" Patient J.P.-P further claims that she ordered Respondent out of her room and told him never to do that to her again.

- 23. Patient J.P.-P alleges a third incident with Respondent. She claims Respondent walked into her hospital room toward the foot of her bed. She felt him spread her thighs and felt his hand on her genitals. She asked Respondent "what the hell are you doing down there?" Respondent replied that he was checking her catheter. As a nurse, Patient J.P..-P knew that checking catheters was a nurse's function, not a physician's function. She allegedly told him to get out of her room and to never touch her there again.
- 24. A fourth incident involving Respondent allegedly occurred the following consecutive evening and involved Respondent's attempted removal of Patient J.P.-P's nasogastric tube ("NG tube") causing her to choke.
- 25. Patient J.P.-P was interviewed by the Scottsdale Police on October 23, 2010, and specifically stated there were three successive nights of alleged sexual abuse, beginning on the first day following surgery, and always between 11:00 p.m. and midnight.
- 26. In a telephonic conference with Scottsdale Police Officer Milne on November 5, 2010, Patient J.P.-P. advised the officer that she wanted to pursue prosecution and that she now had visions of Respondent climbing on her bed and "straddling" her as well as "raping" her.
- 27. On November 15, 2010, Patient J.P.-P. was interviewed by Board staff and advised them that post-operatively Respondent sexually abused her on five successive nights, always from 11:00 p.m. to midnight.
- 28. Patient J.P.-P. testified at hearing that on post-operative night number four, Respondent came to her room asking what he could do to "be her best friend." She responded by stating he could write an order to remove her NG tube, and then Respondent attempted to pull out the NG tube but left it in a precarious position affecting her gag reflex and possibly exposing her to aspiration of stomach contents and pneumonitis.

30. At hearing, Patient J.P.-P. was shown a document from her hospital chart that reflected that the NG tube had been removed two days previous, such that her relating of events on the fourth post-operative night could not have occurred.

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- 31. In response to the document, Patient J.P.-P. testified that her attorney had dropped her like a "hot cake" and told her that the hospital record had been "scrubbed clean" or materially altered.
- 32. Patient J.P.-P testified vigorously and assertively that the NG tube had not been removed on post-operative day number two as reflected by the hospital chart; rather, she stated that the legal department or risk management department at the hospital had altered the medical record.
- 33. Patient J.P.-P also testified that the hospital chart had been altered to delete information regarding two falls she sustained in the hospital prior to surgery.
- 34. Patient J.P.-P's interview with Board staff as well as her testimony at hearing included her assertion that during the day, Respondent was a normal, professional physician; however, when Respondent appeared in her room at night between 11:00 p.m. and midnight and abused her, he acted with a different personality, with eyes glazed over and in a very stalking fashion. He was like a Jekyll and Hyde according to Patient J.P.-P.'s assertions and testimony.
- 35. Except for Patient J.P.-P.'s testimony, there is no other evidence establishing that Respondent was at the hospital and in her hospital room as Patient J.P.-P. claims.
- 36. Patient J.P.-P. was maintained on a powerful narcotic pain medication, Dilaudid, during her post-operative course. Dilaudid is well known for creating hallucinatory and delusional visions in such patients.
- 37. Dr. Gilles, the general surgeon who accepted transfer of care of Patient J.P.-P. when Respondent was asked to withdraw following the lodging of her complaint with the hospital, testified that the effects of Dilaudid and other narcotic medications are well known to cause delusions and hallucinations. Dr. Gilles

related an anecdote told to him recently by a patient on Diluaudid who experienced hallucination that people were having sex in the hallway.

38. Patient J.P.-P.'s testimony does not rise to the level of credibility to sustain her allegations against Respondent.

# Patient M.T.

- 39. Respondent removed Patient M.T.'s gallbladder in January 2003.
- 40. Following the gallbladder surgery, Respondent examined Patient M.T. in his office in regard to the surgical scars. He asked her to lift her blouse and pull her pants down to where the top of her pubic area was exposed 4 to 6 inches below where her surgical scars were located. At the time, Patient M.T. was a little uncomfortable, but she complied with Respondent's request, although she decided never to see Respondent again.
- 41. In October 2003, Patient M.T. returned to the hospital after experiencing the same pain she had experienced prior to her gallbladder surgery. Patient M.T. believed that Respondent had been asked to consult on her medical case. Respondent entered Patient M.T.'s room and asked if she had had any other procedures since he last saw her. Patient M.T.'s husband stated that Patient M.T. had breast augmentations. Respondent asked to see Patient M.T.'s gallbladder scars, and she lifted up her shirt to just under her breasts. Respondent then placed his hands on her breasts and squeezed her breasts and pinched her nipples and said "it looks like he did a good job."
- 42. No breast examination was noted in Patient M.T.'s medical record and such an examination was unrelated to the patient's medical issues.
- 43. Patient M.T. reported the incident to the nurse that Respondent had touched her improperly and unprofessionally and that she felt violated and did not want him around her ever again.
- 44. Patient M.T also filed a police report regarding the incident on October 17, 2003.

### Case No. MD-11-0006A

45. The Board initiated Case No. MD-11-0006A as a result of an interview of Respondent by Board staff regarding the investigations in MD-10-0988A concerning Patient T.M. and in MD-10-1392A concerning Patient J.P.-P.

- 46. During an interview of Respondent by Board staff on November 17, 2010, Respondent was asked if he had any other patient complaints against him for inappropriate touching other than D.H., T.M., and J.P.-P. Respondent falsely replied no.
- 47. In a 2007 Psycho Sexual Assessment, which was ordered by and forwarded to the Board, Respondent falsely reported to Dr. Amezcua-Patino that he had never been previously accused of inappropriate sexual behavior.
- In a letter written on behalf of Respondent on November 29, 2010, to Board staff, Respondent's attorney, Daniel Jantsch, Esq., informed the Board that there had been only three complaints against Respondent for inappropriate touching and conduct as of the date of his letter. Mr. Jantsch identified the complaints made by patients D.H., T.M., and J.P.-P. Respondent reviewed the letter for accuracy before it was sent to Board staff.
- 49. Mr. Jantsch's letter falsely informed Board staff that only three complaints had been made against Respondent through November 29, 2010, when, in fact, five complaints were known by Respondent to have been made against him.
- 50. At the time Mr. Jantsch's letter was sent to Board staff, two additional complaints of inappropriate touching by Respondent had been made in 2003 and 2005, respectively, by his patients M.T. and C.W.
- 51. The Board ordered Respondent to undergo a Professionals Assessment Program conducted by the Sante Center for Healing ("Sante") in December 2010.
- 52. During that assessment conducted by Sante, Respondent, when asked, falsely disclosed that only three complaints had been made against him for inappropriate touching. Respondent knew at the time he responded that Sante would send its report to the Board.

<sup>&</sup>lt;sup>1</sup> On or about March 20, 2007 Patient D.H. contacted the Tempe Police Department alleging that she had been sexually abused by Respondent on March 20, 2007, during an examination. On or about March 21, 2007, Patient D.H. filed a complaint against Respondent with the Board alleging inappropriate touching by Respondent during examinations on January 4, 2007, and on March 20, 2007. No evidence was presented whether Respondent was criminally prosecuted or disciplined by the Board as a result of this complaint.

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# Respondent wrote a letter to Board staff dated January 5, 2011, in which he stated that he "had no recollection of the two specific cases" involving Patients T.M. and C.W. until he read police reports forwarded to him by the Board. Respondent also stated that he "was not aware of any complaint [concerning Patient C.W.] until receiving the police report" provided by the Board, and did not remember Patient M.T. had filed a complaint, but recalled Patient M.T. having a positive outcome from his care. These statements by Respondent were false because at the time of the complaint by Patient M.T. in 2003 and by Patient C.W. in 2005, hospital investigations were undertaken concerning allegations of inappropriate sexual touching, to which Respondent answered in detail.

# **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction over Respondent and the subject matter in this case.
- 2. Pursuant to A.R.S. § 41-1092.07(G) (2) and A.A.C. R2-19-119(B), the Board has the burden of proof in this matter. The standard of proof is by a preponderance of the evidence. A.A.C. R2-19-119(A).
- 3. The conduct and circumstances described in the above Findings of Fact constitute unprofessional conduct by Respondent, pursuant to A.R.S. § 32-1401.27(z) (ii) and (iii).
- 4. The conduct and circumstances described in the above Findings of Fact constitute unprofessional conduct by Respondent, pursuant to A.R.S. § 32-1401.27(jj).

# **ORDER**

Respondent's License No. 6361 shall be revoked on the effective date of the Order entered in this matter.

Pursuant to A.R.S. § 32-1451(M), Respondent is charged the costs of the formal hearing. Respondent shall pay those costs to the Board no later than 30 days from the date of invoicing from the Board or its designee, unless such deadline date is extended by the Board or its designee.

# RIGHT TO PETITION FOR REHEARING OR REVIEW

Respondent is hereby notified that he has the right to petition for a rehearing or review. The petition for rehearing or review must be filed with the Board's Executive Director within thirty (30) days after service of this Order. A.R.S. § 41-1092.09(B). The petition for rehearing or review must set forth legally sufficient reasons for granting a rehearing or review. A.A.C. R4-16-103. Service of this Order is effective five (5) days after date of mailing. A.R.S. § 41-1092.09(C). If a petition for rehearing or review is not filed, the Board's Order becomes effective thirty-five (35) days after it is mailed to Respondent.

Respondent is further notified that the filing of a motion for rehearing or review is required to preserve any rights of appeal to the Superior Court.

Dated this MEDICAL THE AI

THE ARIZONAMEDICAL BOARD

Lisa Wynn, Executive Director

ORIGINAL of the foregoing filed this day of December, 2011 with:

Arizona Medical Board 9545 East Doubletree Ranch Road Scottsdale, Arizona 85258

COPY of the foregoing filed this ay of December 2011, with:

Cliff J. Vanell, Director Office of Administrative Hearing 1400 W. Washington, Ste. 101 Phoenix, AZ 85007 Executed copy of the Foregoing mailed by U.S. Mail this day of December 2011, to:

Arthur J. O'Connor, M.D. Address of Record

Daniel J. Jantsch, Esq. Olson Jantsch & Bakker, P.A. 7243 North 16<sup>th</sup> Street Phoenix, AZ 85020

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